PTO/SB/21 (07-06) Approved for use through 09/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE on Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/816,215-Conf. #7309 Filing Date **TRANSMITTAL** April 1, 2004 First Named Inventor **FORM** Kevin Pellerin Art Unit 3625 (to be used for all correspondence after initial filing) Examiner Name M. A. Fadok Attorney Docket Number Total Number of Pages in This Submission 12 S1342.70001US00 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please X Other Enclose Identify below): Extension of Time Request Terminal Disclaimer Copy of International Search **Express Abandonment Request** Request for Refund Report and Written Opinion for PCT/US05/11183 x Information Disclosure Statement CD, Number of CD(s) Return Receipt Postcard Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53

	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Name	WOLF, GREENFIELD & SACKS, P.C.	
Signature	Rendy J. Pritiles	
Printed name	Randy J. Pritzker	
Date	10-25-06 Reg. No. 35,986	
the date shown t	Certificate of Mailing Under 37 CFR 1.8(a)  y that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Ser  n below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for  Box 1450, Alexandria, VA 22313-1450.  Signature: Audi Dunum (Janet D'Annunzio-Ellis)	vice on
	$lackbox{f $\Psi$}$	



DOCKET NO.: \$1342.70001US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Pellerin et al.

Serial No.:

10/816215

Confirmation No.:

7309

Filed:

April 1, 2004

For:

METHOD AND SYSTEM FOR FACILITATING

COMMERCE VIA A COMMUNICATIONS NETWORK

Examiner:

Mark A. Fadok

Art Unit:

3625

# CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 26 day of October, 2006.

Janet D'Annunzio-Ellis

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case. No fee or certification is required.

Serial No.: 10/816215 -2- Art Unit: 3625

Conf. No.: 7309

## PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in the International Search Report in counterpart international application serial number PCT/US05/11183, and this communication was not received by the office of the undersigned more than thirty (30) days prior to the filing of this Information Disclosure Statement.

#### PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant would like to bring to the Examiner's attention the enclosed International Search Report and Written Opinion in counterpart international application serial number PCT/US05/11183 which was mailed September 21, 2006.

#### PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Serial No.: 10/816215 -3 - Art Unit: 3625

Conf. No.: 7309

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Randy J. Pritzker, Reg. No. 35,986 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: S1342.70001US00

Date: October 25, 2006

x10/28/06x

	oct 3.1	2006 <sup>72</sup>	/	<u>.</u>		
FORM PTC	0-1449/A and D-m	odified	PTO/SB/08)	APPLICATION NO.:	10/816215	ATTY. DOCKET NO.: \$1342.70001US00
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE:	April 1, 2004	CONFIRMATION NO.: 7309
				APPLICANT:	Pellerin et al.	
Sheet	1	of		GROUP ART UNIT:	3625	EXAMINER: Mark A. Fadok
Silect	<u> </u>	01	<u> </u>			

#### U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite	U.S. Patent Do	cument	Name of Patentee or Applicant of Cited	Date of Publication or Issue of Cited Document MM-DD-YYYY	
	No.	Number	Kind Code	Document		
		2002/0184105	Al	Czuchry, Jr. et al.	12-05-2002	
		2004/0186788	Al	Czuchry, Jr. et al.	09-23-2004	
,		2005/0010496	Al	Hoffman et al.	01-13-2005	
	ļ					
	]					

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials #	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	Document Document	Cited Document MM-DD-YYYY	(Y/N)
		·					

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		International Search Report and Written Opinion for International Application No. PCT/US05/11183 mailed 9/21/06	

EXAMINER:	DATE CONSIDERED:	
	<u> </u>	

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>#</sup> EXAMINER: Initial if reference considered, whether or notication is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).